**STANDARD CONTRACTUAL CLAUSES 2021/914**

**MODULE TWO: Transfer Controller to Processor**

**Clause 1**

**Purpose and scope**

(a) The purpose of these contractual clauses is to ensure compliance with the requirements of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation) for the transfer of personal data to a third country.

(b) The Parties:

i) the natural or legal person(s), public authority/ies, agency/ies or other body/ies (hereinafter “**entity/ies**”) transferring the personal data, as listed in **Annex I.A** (hereinafter each “**data exporter**”), and

ii) the entity/ies in a third country receiving the personal data from the data exporter, directly or indirectly via another entity also Party to these Clauses, as listed in **Annex I.A** (hereinafter each “**data importer**”)

have agreed to these contractual clauses (“**Clauses**”).

(c) These Clauses apply with respect to the transfer of personal data as specified in **Annex I.B**.

(d) The Appendix to these Clauses containing the Annexes referred to therein forms an integral part of these Clauses.

**Clause 2**

**Effect and invariability of the Clauses**

(a) These Clauses set out appropriate safeguards, including enforceable data subject rights and effective legal remedies, pursuant to Article 46(1) and Article 46(2)(c) of Regulation (EU) 2016/679 and, with respect to data transfers from controllers to processors and/or processors to processors, standard contractual clauses pursuant to Article 28(7) of Regulation (EU) 2016/679, provided they are not modified, except to select the appropriate Module(s) or to add or update information in the Appendix. This does not prevent the Parties from including the standard contractual clauses laid down in these Clauses in a wider contract and/or to add other clauses or additional safeguards, provided that they do not contradict, directly or indirectly, these Clauses or prejudice the fundamental rights or freedoms of data subjects.

(b) These Clauses are without prejudice to obligations to which the data exporter is subject by virtue of Regulation (EU) 2016/679.

**Clause 3**

**Third-party beneficiaries**

(a) The data subjects can enforce against the data exporter and/or data importer these Clauses, with the following exceptions:

i) Clause 1, Clause 2, Clause 3, Clause 6, Clause 7;

ii) Clause 8.1(b), 8.9(a), (c), (d) and (e);

iii) Clause 9(a), (c), (d) and (e);

iv) Clause 12(a), (d) and (f);

v) Clause 13;

vi) Clause 15.1 (c), (d) and (e);

vii) Clause 16 (e);

viii) Clause 18 (a) and (b);

(b) Paragraph (a) is without prejudice to rights of data subjects under Regulation (EU) 2016/679.

**Clause 4**

**Interpretation**

(a) Where these Clauses use terms that are defined in Regulation (EU) 2016/679, those terms shall have the same meaning as in that Regulation.

(b) These Clauses shall be read and interpreted in the light of the provisions of Regulation (EU) 2016/679.

(c) These Clauses shall not be interpreted in a way that conflicts with rights and obligations provided for in Regulation (EU) 2016/679.

**Clause 5**

**Hierarchy**

In the event of a contradiction between these Clauses and the provisions of other related agreements between the Parties, existing at the time these Clauses are agreed or entered into thereafter, these Clauses shall prevail.

**Clause 6**

**Description of the transfer(s)**

The details of the transfer(s), and in particular the categories of personal data that are transferred and the purpose(s) for which they are transferred, are specified in **Annex I.B** hereunder.

**Clause 7**

**Docking clause**

(a) An entity that is not a Party to these Clauses may, with the agreement of the Parties, accede to these Clauses at any time, either as a data exporter or as a data importer, by completing the Appendix and signing **Annex I.A.**

(b) Once it has completed the Appendix and signed **Annex I.A**, the acceding entity shall become a Party to these Clauses and have the rights and obligations of a data exporter or data importer in accordance with its designation in **Annex I.A**.

(c) The acceding entity shall have no rights or obligations arising under these Clauses from the period prior to becoming a Party.

**Clause 8**

**Data protection safeguards**

The data exporter warrants that it has used reasonable efforts to determine that the data importer is able, through the implementation of appropriate technical and organisational measures, to satisfy its obligations under these Clauses.

**8.1. Instructions**

(a) The data importer shall process the personal data only on documented instructions from the data exporter. The data exporter may give such instructions throughout the duration of the contract.

(b) The data importer shall immediately inform the data exporter if it is unable to follow those instructions.

**8.2. Purpose limitation**

The data importer shall process the personal data only for the specific purpose(s) of the transfer, as set out in **Annex I. B**, unless on further instructions from the data exporter.

**8.3. Transparency**

On request, the data exporter shall make a copy of these Clauses, including the Appendix as completed by the Parties, available to the data subject free of charge. To the extent necessary to protect business secrets or other confidential information, including the measures described in **Annex II** and personal data, the data exporter may redact part of the text of the Appendix to these Clauses prior to sharing a copy, but shall provide a meaningful summary where the data subject would otherwise not be able to understand the its content or exercise his/her rights. On request, the Parties shall provide the data subject with the reasons for the redactions, to the extent possible without revealing the redacted information. This Clause is without prejudice to the obligations of the data exporter under Articles 13 and 14 of Regulation (EU) 2016/679.

**8.4.** **Accuracy**

If the data importer becomes aware that the personal data it has received is inaccurate, or has become outdated, it shall inform the data exporter without undue delay. In this case, the data importer shall cooperate with the data exporter to erase or rectify the data.

**8.5. Duration of processing and erasure or return of data**

Processing by the data importer shall only take place for the duration specified in **Annex I.B**. After the end of the provision of the processing services, the data importer shall, at the choice of the data exporter, delete all personal data processed on behalf of the data exporter and certify to the data exporter that it has done so, or return to the data exporter all personal data processed on its behalf and delete existing copies. Until the data is deleted or returned, the data importer shall continue to ensure compliance with these Clauses. In case of local laws applicable to the data importer that prohibit return or deletion of the personal data, the data importer warrants that it will continue to ensure compliance with these Clauses and will only process it to the extent and for as long as required under that local law. This is without prejudice to **Clause 14**, in particular the requirement for the data importer under **Clause 14(e)** to notify the data exporter throughout the duration of the contract if it has reason to believe that it is or has become subject to laws or practices not in line with the requirements under **Clause 14(a)**.

**8.6.** **Security of processing**

(a) The data importer and, during transmission, also the data exporter shall implement appropriate technical and organisational measures to ensure the security of the data, including protection against a breach of security leading to accidental or unlawful destruction, loss, alteration, unauthorised disclosure or access to that data (hereinafter ‘personal data breach’). In assessing the appropriate level of security, the Parties shall take due account of the state of the art, the costs of implementation, the nature, scope, context and purpose(s) of processing and the risks involved in the processing for the data subjects. The Parties shall in particular consider having recourse to encryption or pseudonymisation, including during transmission, where the purpose of processing can be fulfilled in that manner. In case of pseudonymisation, the additional information for attributing the personal data to a specific data subject shall, where possible, remain under the exclusive control of the data exporter. In complying with its obligations under this paragraph, the data importer shall at least implement the technical and organisational measures specified in **Annex II**. The data importer shall carry out regular checks to ensure that these measures continue to provide an appropriate level of security.

(b) The data importer shall grant access to the personal data to members of its personnel only to the extent strictly necessary for the implementation, management and monitoring of the contract. It shall ensure that persons authorised to process the personal data have committed themselves to confidentiality or are under an appropriate statutory obligation of confidentiality.

(c) In the event of a personal data breach concerning personal data processed by the data importer under these Clauses, the data importer shall take appropriate measures to address the breach, including measures to mitigate its adverse effects. The data importer shall also notify the data exporter without undue delay after having become aware of the breach. Such notification shall contain the details of a contact point where more information can be obtained, a description of the nature of the breach (including, where possible, categories and approximate number of data subjects and personal data records concerned), its likely consequences and the measures taken or proposed to address the breach including, where appropriate, measures to mitigate its possible adverse effects. Where, and in so far as, it is not possible to provide all information at the same time, the initial notification shall contain the information then available and further information shall, as it becomes available, subsequently be provided without undue delay.

(d) The data importer shall cooperate with and assist the data exporter to enable the data exporter to comply with its obligations under Regulation (EU) 2016/679, in particular to notify the competent supervisory authority and the affected data subjects, taking into account the nature of processing and the information available to the data importer.

**8.7.** **Sensitive data**

Where the transfer involves personal data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, or trade union membership, genetic data, or biometric data for the purpose of uniquely identifying a natural person, data concerning health or a person’s sex life or sexual orientation, or data relating to criminal convictions and offences (hereinafter ‘sensitive data’), the data importer shall apply the specific restrictions and/or additional safeguards described in **Annex I.B**.

**8.8.** **Onward transfers**

The data importer shall only disclose the personal data to a third party on documented instructions from the data exporter. In addition, the data may only be disclosed to a third party located outside the European Union (in the same country as the data importer or in another third country, hereinafter ‘onward transfer’) if the third party is or agrees to be bound by these Clauses, under the appropriate Module, or if:

i) the onward transfer is to a country benefitting from an adequacy decision pursuant to Article 45 of Regulation (EU) 2016/679 that covers the onward transfer;

ii) the third party otherwise ensures appropriate safeguards pursuant to Articles 46 or 47 Regulation of (EU) 2016/679 with respect to the processing in question;

iii) the onward transfer is necessary for the establishment, exercise or defense of legal claims in the context of specific administrative, regulatory or judicial proceedings; or

iv) the onward transfer is necessary in order to protect the vital interests of the data subject or of another natural person.

Any onward transfer is subject to compliance by the data importer with all the other safeguards under these Clauses, in particular purpose limitation.

**8.9.** **Documentation and compliance**

(a) The data importer shall promptly and adequately deal with enquiries from the data exporter that relate to the processing under these Clauses.

(b) The Parties shall be able to demonstrate compliance with these Clauses. In particular, the data importer shall keep appropriate documentation on the processing activities carried out on behalf of the data exporter.

(c) The data importer shall make available to the data exporter all information necessary to demonstrate compliance with the obligations set out in these Clauses and at the data exporter’s request, allow for and contribute to audits of the processing activities covered by these Clauses, at reasonable intervals or if there are indications of non- compliance. In deciding on a review or audit, the data exporter may take into account relevant certifications held by the data importer.

(d) The data exporter may choose to conduct the audit by itself or mandate an independent auditor. Audits may include inspections at the premises or physical facilities of the data importer and shall, where appropriate, be carried out with reasonable notice.

(e) The Parties shall make the information referred to in paragraphs (b) and (c), including the results of any audits, available to the competent supervisory authority on request.

**Clause 9**

**Use of sub-processors**

(a) GENERAL WRITTEN AUTHORISATION: The data importer has the data exporter’s general authorisation for the engagement of sub-processor(s) from an agreed list. The data importer shall specifically inform the data exporter in writing of any intended changes to that list through the addition or replacement of sub-processors at least 30 days in advance, thereby giving the data exporter sufficient time to be able to object to such changes prior to the engagement of the sub-processor(s). The data importer shall provide the data exporter with the information necessary to enable the data exporter to exercise its right to object.

(b) Where the data importer engages a sub-processor to carry out specific processing activities (on behalf of the data exporter), it shall do so by way of a written contract that provides for, in substance, the same data protection obligations as those binding the data importer under these Clauses, including in terms of third-party beneficiary rights for data subjects. The Parties agree that, by complying with this Clause, the data importer fulfils its obligations under **Clause 8.8.** The data importer shall ensure that the sub-processor complies with the obligations to which the data importer is subject pursuant to these Clauses.

(c) The data importer shall provide, at the data exporter’s request, a copy of such a sub-processor agreement and any subsequent amendments to the data exporter. To the extent necessary to protect business secrets or other confidential information, including personal data, the data importer may redact the text of the agreement prior to sharing a copy.

(d) The data importer shall remain fully responsible to the data exporter for the performance of the sub-processor’s obligations under its contract with the data importer. The data importer shall notify the data exporter of any failure by the sub-processor to fulfil its obligations under that contract.

(e) The data importer shall agree a third-party beneficiary clause with the sub-processor whereby – in the event the data importer has factually disappeared, ceased to exist in law or has become insolvent – the data exporter shall have the right to terminate the sub-processor contract and to instruct the sub-processor to erase or return the personal data.

**Clause 10**

**Data subject rights**

(a) The data importer shall promptly notify the data exporter of any request it has received from a data subject. It shall not respond to that request itself unless it has been authorised to do so by the data exporter.

(b) The data importer shall assist the data exporter in fulfilling its obligations to respond to data subjects’ requests for the exercise of their rights under Regulation (EU) 2016/679. In this regard, the Parties shall set out in **Annex II** the appropriate technical and organisational measures, taking into account the nature of the processing, by which the assistance shall be provided, as well as the scope and the extent of the assistance required.

(c) In fulfilling its obligations under paragraphs (a) and (b), the data importer shall comply with the instructions from the data exporter.

**Clause 11**

**Redress**

(a) The data importer shall inform data subjects in a transparent and easily accessible format, through individual notice or on its website, of a contact point authorised to handle complaints. It shall deal promptly with any complaints it receives from a data subject.

(b) In case of a dispute between a data subject and one of the Parties as regards compliance with these Clauses, that Party shall use its best efforts to resolve the issue amicably in a timely fashion. The Parties shall keep each other informed about such disputes and, where appropriate, cooperate in resolving them.

(c) Where the data subject invokes a third-party beneficiary right pursuant to **Clause 3**, the data importer shall accept the decision of the data subject to:

i) lodge a complaint with the supervisory authority in the Member State of his/her habitual residence or place of work, or the competent supervisory authority pursuant to **Clause 13**;

ii) refer the dispute to the competent courts within the meaning of **Clause 18**.

(d) The Parties accept that the data subject may be represented by a not-for-profit body, organisation or association under the conditions set out in Article 80(1) of Regulation (EU) 2016/679.

(e) The data importer shall abide by a decision that is binding under the applicable EU or Member State law.

(f) The data importer agrees that the choice made by the data subject will not prejudice his/her substantive and procedural rights to seek remedies in accordance with applicable laws.

**Clause 12**

**Liability**

(a) Each Party shall be liable to the other Party/ies for any damages it causes the other Party/ies by any breach of these Clauses.

(b) The data importer shall be liable to the data subject, and the data subject shall be entitled to receive compensation, for any material or non-material damages the data importer or its sub-processor causes the data subject by breaching the third-party beneficiary rights under these Clauses.

(c) Notwithstanding paragraph (b), the data exporter shall be liable to the data subject, and the data subject shall be entitled to receive compensation, for any material or non-material damages the data exporter or the data importer (or its sub- processor) causes the data subject by breaching the third-party beneficiary rights under these Clauses. This is without prejudice to the liability of the data exporter and, where the data exporter is a processor acting on behalf of a controller, to the liability of the controller under Regulation (EU) 2016/679 or Regulation (EU) 2018/1725, as applicable.

(d) The Parties agree that if the data exporter is held liable under paragraph (c) for damages caused by the data importer (or its sub-processor), it shall be entitled to claim back from the data importer that part of the compensation corresponding to the data importer’s responsibility for the damage.

(e) Where more than one Party is responsible for any damage caused to the data subject as a result of a breach of these Clauses, all responsible Parties shall be jointly and severally liable and the data subject is entitled to bring an action in court against any of these Parties.

(f) The Parties agree that if one Party is held liable under paragraph (e), it shall be entitled to claim back from the other Party/ies that part of the compensation corresponding to its/their responsibility for the damage.

(g) The data importer may not invoke the conduct of a sub-processor to avoid its own liability.

**Clause 13**

**Supervision**

(a) [Where the data exporter is established in an EU Member State:] The supervisory authority with responsibility for ensuring compliance by the data exporter with Regulation (EU) 2016/679 as regards the data transfer, as indicated in **Annex I.C**, shall act as competent supervisory authority.

 [Where the data exporter is not established in an EU Member State, but falls within the territorial scope of application of Regulation (EU) 2016/679 in accordance with its Article 3(2) and has appointed a representative pursuant to Article 27(1) of Regulation (EU) 2016/679:] The supervisory authority of the Member State in which the representative within the meaning of Article 27(1) of Regulation (EU) 2016/679 is established, as indicated in **Annex I.C**, shall act as competent supervisory authority.

 [Where the data exporter is not established in an EU Member State, but falls within the territorial scope of application of Regulation (EU) 2016/679 in accordance with its Article 3(2) without however having to appoint a representative pursuant to Article 27(2) of Regulation (EU) 2016/679:] The supervisory authority of one of the Member States in which the data subjects whose personal data is transferred under these Clauses in relation to the offering of goods or services to them, or whose behaviour is monitored, are located, as indicated in **Annex I.C**, shall act as competent supervisory authority.

(b) The data importer agrees to submit itself to the jurisdiction of and cooperate with the competent supervisory authority in any procedures aimed at ensuring compliance with these Clauses. In particular, the data importer agrees to respond to enquiries, submit to audits and comply with the measures adopted by the supervisory authority, including remedial and compensatory measures. It shall provide the supervisory authority with written confirmation that the necessary actions have been taken.

**Clause 14**

**Local laws and practices affecting compliance with the Clauses**

(a) The Parties warrant that they have no reason to believe that the laws and practices in the third country of destination applicable to the processing of the personal data by the data importer, including any requirements to disclose personal data or measures authorising access by public authorities, prevent the data importer from fulfilling its obligations under these Clauses. This is based on the understanding that laws and practices that respect the essence of the fundamental rights and freedoms and do not exceed what is necessary and proportionate in a democratic society to safeguard one of the objectives listed in Article 23(1) of Regulation (EU) 2016/679, are not in contradiction with these Clauses.

(b) The Parties declare that in providing the warranty in paragraph (a), they have taken due account in particular of the following elements:

i) the specific circumstances of the transfer, including the length of the processing chain, the number of actors involved and the transmission channels used; intended onward transfers; the type of recipient; the purpose of processing; the categories and format of the transferred personal data; the economic sector in which the transfer occurs; the storage location of the data transferred;

ii) the laws and practices of the third country of destination– including those requiring the disclosure of data to public authorities or authorising access by such authorities – relevant in light of the specific circumstances of the transfer, and the applicable limitations and safeguards;

iii) any relevant contractual, technical or organisational safeguards put in place to supplement the safeguards under these Clauses, including measures applied during transmission and to the processing of the personal data in the country of destination.

(c) The data importer warrants that, in carrying out the assessment under paragraph (b), it has made its best efforts to provide the data exporter with relevant information and agrees that it will continue to cooperate with the data exporter in ensuring compliance with these Clauses.

(d) The Parties agree to document the assessment under paragraph (b) and make it available to the competent supervisory authority on request.

(e) The data importer agrees to notify the data exporter promptly if, after having agreed to these Clauses and for the duration of the contract, it has reason to believe that it is or has become subject to laws or practices not in line with the requirements under paragraph (a), including following a change in the laws of the third country or a measure (such as a disclosure request) indicating an application of such laws in practice that is not in line with the requirements in paragraph (a).

(f) Following a notification pursuant to paragraph (e), or if the data exporter otherwise has reason to believe that the data importer can no longer fulfill its obligations under these Clauses, the data exporter shall promptly identify appropriate measures (e.g., technical or organisational measures to ensure security and confidentiality) to be adopted by the data exporter and/or data importer to address the situation. The data exporter shall suspend the data transfer if it considers that no appropriate safeguards for such transfer can be ensured, or if instructed by the competent supervisory authority to do so. In this case, the data exporter shall be entitled to terminate the contract, insofar as it concerns the processing of personal data under these Clauses. If the contract involves more than two Parties, the data exporter may exercise this right to termination only with respect to the relevant Party, unless the Parties have agreed otherwise. Where the contract is terminated pursuant to this Clause, **Clause 16(d) and (e)** shall apply.

**Clause 15**

**Obligations of the data importer in case of access by public authorities**

**15.1.** **Notification**

(a) The data importer agrees to notify the data exporter and, where possible, the data subject promptly (if necessary with the help of the data exporter) if it:

i) receives a legally binding request from a public authority, including judicial authorities, under the laws of the country of destination for the disclosure of personal data transferred pursuant to these Clauses; such notification shall include information about the personal data requested, the requesting authority, the legal basis for the request and the response provided; or

ii) becomes aware of any direct access by public authorities to personal data transferred pursuant to these Clauses in accordance with the laws of the country of destination; such notification shall include all information available to the importer.

(b) If the data importer is prohibited from notifying the data exporter and/or the data subject under the laws of the country of destination, the data importer agrees to use its best efforts to obtain a waiver of the prohibition, with a view to communicating as much information as possible, as soon as possible. The data importer agrees to document its best efforts in order to be able to demonstrate them on request of the data exporter.

(c) Where permissible under the laws of the country of destination, the data importer agrees to provide the data exporter, at regular intervals for the duration of the contract, with as much relevant information as possible on the requests received (in particular, number of requests, type of data requested, requesting authority/ies, whether requests have been challenged and the outcome of such challenges, etc.).

(d) The data importer agrees to preserve the information pursuant to paragraphs (a) to (c) for the duration of the contract and make it available to the competent supervisory authority on request.

(e) Paragraphs (a) to (c) are without prejudice to the obligation of the data importer pursuant to **Clause 14(e)** and **Clause 16** to inform the data exporter promptly where it is unable to comply with these Clauses.

**15.2.** **Review of legality and data minimisation**

(a) The data importer agrees to review the legality of the request for disclosure, in particular whether it remains within the powers granted to the requesting public authority, and to challenge the request if, after careful assessment, it concludes that there are reasonable grounds to consider that the request is unlawful under the laws of the country of destination, applicable obligations under international law and principles of international comity. The data importer shall, under the same conditions, pursue possibilities of appeal. When challenging a request, the data importer shall seek interim measures with a view to suspending the effects of the request until the competent judicial authority has decided on its merits. It shall not disclose the personal data requested until required to do so under the applicable procedural rules. These requirements are without prejudice to the obligations of the data importer under **Clause 14(e).**

(b) The data importer agrees to document its legal assessment and any challenge to the request for disclosure and, to the extent permissible under the laws of the country of destination, make the documentation available to the data exporter. It shall also make it available to the competent supervisory authority on request.

(c) The data importer agrees to provide the minimum amount of information permissible when responding to a request for disclosure, based on a reasonable interpretation of the request.

**Clause 16**

**Non-compliance with the Clauses and termination**

(a) The data importer shall promptly inform the data exporter if it is unable to comply with these Clauses, for whatever reason.

(b) In the event that the data importer is in breach of these Clauses or unable to comply with these Clauses, the data exporter shall suspend the transfer of personal data to the data importer until compliance is again ensured or the contract is terminated. This is without prejudice to **Clause 14(f)**.

(c) The data exporter shall be entitled to terminate the contract, insofar as it concerns the processing of personal data under these Clauses, where:

i) the data exporter has suspended the transfer of personal data to the data importer pursuant to paragraph (b) and compliance with these Clauses is not restored within a reasonable time and in any event within one month of suspension;

ii) the data importer is in substantial or persistent breach of these Clauses; or

iii) the data importer fails to comply with a binding decision of a competent court or supervisory authority regarding its obligations under these Clauses.

In these cases, it shall inform the competent supervisory authority of such non- compliance. Where the contract involves more than two Parties, the data exporter may exercise this right to termination only with respect to the relevant Party, unless the Parties have agreed otherwise.

(d) Personal data that has been transferred prior to the termination of the contract pursuant to paragraph (c) shall at the choice of the data exporter immediately be returned to the data exporter or deleted in its entirety. The same shall apply to any copies of the data. The data importer shall certify the deletion of the data to the data exporter. Until the data is deleted or returned, the data importer shall continue to ensure compliance with these Clauses. In case of local laws applicable to the data importer that prohibit the return or deletion of the transferred personal data, the data importer warrants that it will continue to ensure compliance with these Clauses and will only process the data to the extent and for as long as required under that local law.

(e) Either Party may revoke its agreement to be bound by these Clauses where (i) the European Commission adopts a decision pursuant to Article 45(3) of Regulation (EU) 2016/679 that covers the transfer of personal data to which these Clauses apply; or (ii) Regulation (EU) 2016/679 becomes part of the legal framework of the country to which the personal data is transferred. This is without prejudice to other obligations applying to the processing in question under Regulation (EU) 2016/679.

**Clause 17**

**Governing law**

These Clauses shall be governed by the law of the EU Member State in which the data exporter is established. Where such law does not allow for third-party beneficiary rights, they shall be governed by the law of another EU Member State that does allow for third-party beneficiary rights. The Parties agree that this shall be the law of Germany.

**Clause 18**

**Choice of forum and jurisdiction**

(a) Any dispute arising from these Clauses shall be resolved by the courts of an EU Member State.

(b) The Parties agree that those shall be the courts of Germany.

(c) A data subject may also bring legal proceedings against the data exporter and/or data importer before the courts of the Member State in which he/she has his/her habitual residence.

(d) The Parties agree to submit themselves to the jurisdiction of such courts.

**ΑΝΝΕΧ I**

**A. LIST OF PARTIES**

**Data exporter Number** Please click here to add a text.**:**

Name: Please click here to add a text.

Address: Please click here to add a text.

Contact person’s name, position and contact details: Please click here to add a text.

Activities relevant to the data transferred under these Clauses: Please click here to add a text.

Where applicable, of its/their data protection officer and/or representative in the European Union: Please click here to add a text.

|  |  |
| --- | --- |
| Role: ControllerDate: Please click here to add a text. First and Last Name (written out in full):Please click here to add a text.  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Signature |

**Data importer Number** Please click here to add a text.**:**

Name: Please click here to add a text.

Address: Please click here to add a text.

Contact person’s name, position and contact details: Please click here to add a text.

Any contact person with responsibility for data protection: Please click here to add a text.

Activities relevant to the data transferred under these Clauses: Please click here to add a text.

Where applicable, of its/their data protection officer and/or representative in the European Union: Please click here to add a text.

|  |  |
| --- | --- |
| Role: ProcessorDate: Please click here to add a text. First and Last Name (written out in full):Please click here to add a text.  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Signature |

**B. DESCRIPTION OF TRANSFER**

**Categories of data subjects whose personal data is transfered**

[ ]  Customers [ ]  Event participants

[ ]  Relatives of staff [ ]  Potential customers

[ ]  Visitors [ ]  Applicants

[ ]  Employees [ ]  Business partners

[ ]  Apprentices [ ]  Suppliers

[ ]  Communication participants [ ]  Trainees

[ ]  Service providers [ ]  Consultants

[ ]  Students [ ]  Authorized Agents

[ ]  Shareholders [ ]  Contact Persons

[ ]  Subscribers

[ ]  Other. Please specify: Please click here to add a text.

**Categories of personal data transfered**

[ ]  Names [ ]  Factors specific to the physical identity

[ ]  Identification number [ ]  Factors specific to the physiological identity

[ ]  Location data [ ]  Factors specific to the genetic identity

[ ]  Online identifier [ ]  Factors specific to the mental identity

 [ ]  Factors specific to the economic identity

 [ ]  Factors specific to the cultural identity

 [ ]  Factors specific to the social identity

[ ]  Customer data [ ]  Data of event participants

[ ]  Data of relatives of staff [ ]  Data of potential customers

[ ]  Data of visitors [ ]  Data of applicants

[ ]  Data of employees [ ]  Data of business partners

[ ]  Data of apprentices [ ]  Data of suppliers

[ ]  Data of communic. participants [ ]  Data of trainees

[ ]  Data of service providers [ ]  Data of consultants

[ ]  Data of students [ ]  Data of authorized agents

[ ]  Data of shareholders [ ]  Data of contact persons

[ ]  Data of subscribers

[ ]  Other. Please specify: Please click here to add a text.

**Sensitive data transferred (if applicable) and applied restrictions or safeguards that fully take into consideration the nature of the data and the risks involved, such as for instance strict purpose limitation, access restrictions (including access only for staff having followed specialised training), keeping a record of access to the data, restrictions for onward transfers or additional security measures.**

**Sensitive data transferred**

[ ]  Racial Origin [ ]  Ethnic Origin

[ ]  Political opinions [ ]  Religious beliefs

[ ]  Philosophical beliefs [ ]  Trade union membership

[ ]  Genetic data [ ]  Biometric data

[ ]  Data concerning health [ ]  Data concerning sex life

[ ]  Data concerning sexual orientation [ ]  Data relating to criminal convictions

[ ]  Data relating to criminal offences

[ ]  Please click here to add a text.

**Applied restrictions or safeguards**

[ ]  Strict purpose limitation

[ ]  Access restrictions

[ ]  Access only for staff having followed specialised training

[ ]  Keeping a record of access to the data

[ ]  Restrictions for onward transfers

[ ]  Additional security measures. Please specify: Please click here to add a text.

**Frequency of transfer:**

[ ]  The data is transferred on a one-off.

[ ]  The data is transferred on a continuous basis.

[ ]  Other. Please specify: Please click here to add a text.

**Nature of processing**

[ ]  Collection [ ]  Adaptation [ ]  Disclosure by transmission

[ ]  Restriction [ ]  Recording [ ]  Alteration

[ ]  Dissemination [ ]  Erasure [ ]  Organisation

[ ]  Retrieval [ ]  otherwise making available [ ]  Destruction

[ ]  Structuring [ ]  Consultation [ ]  Alignment

[ ]  Storage [ ]  Use [ ]  Combination

[ ]  Please click here to add a text.

**Purpose(s) of the data transfer and further processing**

Please click here to add a text.

**The period for which the personal data will be retained, or, if that is not possible, the criteria used to determine that period**

[ ]  The data is retained for a short time.

[ ]  The data is retained for a longer period.

[ ]  The criteria for determining the retention period results from main contract.

[ ]  The statutory retention period is used to determine the retention period.

[ ]  Other. Please specify: Please click here to add a text.

**For transfers to (sub-) processors, also specify subject matter, nature and duration of the processing**

**Subject matter of (sub-) processing:** Please click here to add a text.

**Nature of (sub-) processing:** Please click here to add a text.

**Duration of (sub-) processing:** Please click here to add a text.

**C. COMPETENT SUPERVISORY AUTHORITY**

[ ]  Austria - Österreichische Datenschutzbehörde

[ ]  Belgium - Autorité de la protection des données - Gegevensbeschermingsautoriteit (APD-GBA)

[ ]  Bulgaria - Commission for Personal Data Protection

[ ]  Croatia - Croatian Personal Data Protection Agency

[ ]  Cyprus - Commissioner for Personal Data Protection

[ ]  Czech Republic - Office for Personal Data Protection

[ ]  Denmark - Datatilsynet

[ ]  EDPS - European Data Protection Supervisor

[ ]  Estonia - Estonian Data Protection Inspectorate (Andmekaitse Inspektsioon)

[ ]  Finland - Office of the Data Protection Ombudsman

[ ]  France - Commission Nationale de l'Informatique et des Libertés - CNIL

[ ]  Germany - Der Bundesbeauftragte für den Datenschutz und die Informationsfreiheit

[ ]  Germany - Baden-Württemberg - Der Landesbeauftragte für den Datenschutz und die Informationsfreiheit

[ ]  Germany - Bayern - Landesamt für Datenschutzaufsicht

[ ]  Germany - Bayern - Der Bayerische Landesbeauftragte für den Datenschutz

[ ]  Germany - Berlin - Berliner Beauftragte für Datenschutz und Informationsfreiheit

[ ]  Germany - Brandenburg - Die Landesbeauftragte für den Datenschutz und für das Recht auf Akteneinsicht

[ ]  Germany - Bremen - Die Landesbeauftragte für Datenschutz und Informationsfreiheit

[ ]  Germany - Hamburg - Der Hamburgische Beauftragte für Datenschutz und Informationsfreiheit

[ ]  Germany - Hessen - Der Hessische Beauftragte für Datenschutz und Informationsfreiheit

[ ]  Germany - Mecklenburg-Vorpommern - Der Landesbeauftragte für Datenschutz und Informationsfreiheit

[ ]  Germany - Niedersachsen - Die Landesbeauftragte für den Datenschutz Niedersachsen

[ ]  Germany - Nordrhein-Westfalen - Die Landesbeauftragte für Datenschutz und Informationsfreiheit

[ ]  Germany - Rheinland-Pfalz - Der Landesbeauftragte für den Datenschutz und die Informationsfreiheit

[ ]  Germany - Saarland - Unabhängiges Datenschutzzentrum Saarland

[ ]  Germany - Sachsen - Der Sächsische Datenschutzbeauftragte

[ ]  Germany - Sachsen-Anhalt - Landesbeauftragter für den Datenschutz Sachsen-Anhalt

[ ]  Germany - Schleswig-Holstein - Unabhängiges Landeszentrum für Datenschutz Schleswig-Holstein

[ ]  Germany - Thüringen - Thüringer Landesbeauftragter für den Datenschutz und die Informationsfreiheit

[ ]  Greece - Hellenic Data Protection Authority

[ ]  Hungary - Hungarian National Authority for Data Protection and Freedom of Information

[ ]  Ireland - Data Protection Commission

[ ]  Italy - Garante per la protezione dei dati personali

[ ]  Latvia - Data State Inspectorate

[ ]  Lithuania - State Data Protection Inspectorate

[ ]  Luxembourg - Commission Nationale pour la Protection des Données

[ ]  Malta - Office of the Information and Data Protection Commissioner

[ ]  Netherlands - Autoriteit Persoonsgegevens

[ ]  Poland - Urząd Ochrony Danych Osobowych (Personal Data Protection Office)

[ ]  Portugal - Comissão Nacional de Proteção de Dados - CNPD

[ ]  Romania - The National Supervisory Authority for Personal Data Processing

[ ]  Slovakia - Office for Personal Data Protection of the Slovak Republic

[ ]  Slovenia - Information Commissioner of the Republic of Slovenia

[ ]  Spain - Agencia Española de Protección de Datos (AEPD)

[ ]  Sweden – Integritetsskyddsmyndigheten

**ANNEX II**

**TECHNICAL AND ORGANISATIONAL MEASURES INCLUDING TECHNICAL AND ORGANISATIONAL MEASURES TO ENSURE THE SECURITY OF THE DATA**

Description of the technical and organisational measures implemented by the data importer(s) (including any relevant certifications) to ensure an appropriate level of security, taking into account the nature, scope, context and purpose of the processing, and the risks for the rights and freedoms of natural persons.

**The technical and organizational security measures already pre-checked are the minimum standard required by the Controller. If you have not implemented these technical and organizational security measures, please inform the Controller immediately. Additionally, you shall add all additional technical and organizational security measures taken by you!**

1. **Measures of pseudonymization and encryption of personal data**

☒ Pseudonymisation of personal data that are [ ]  Pseudonymisation policy

no longer needed in plain text [ ]  Encryption of data carriers

[ ]  Pseudonymisation of data in test systems ☒ Encryption of websites (SSL)

[ ]  Encryption of databases ☒ Encryption of e-mail (TLS 1.2 or 1.3)

[ ]  Encryption of passwords and keys [ ]  Encryption of mobile devices

[ ]  Please click here to add a text.

1. **Measures for ensuring ongoing confidentiality, integrity, availability and resilience of processing systems and services**

☒ Confidentiality agreements with employee’s ☒ NDA´s with third parties

☒ Data Protection agreements with employee’s [ ]  External storage / external backup server

[ ]  Support agreements with third parties [ ]  Data outsourcing agreements

[ ]  Use of certified Microsoft Cloud [ ]  Use of certified Google Cloud

[ ]  Use of certified Amazon Cloud [ ]  Use of other certified cloud provider

☒ Firewall ☒ Anti-Virus

☒ Regular backups [ ]  Redundant systems

[ ]  Monitoring of systems and services [ ]  RAID storage systems

[ ]  Network attached storage (NAS) [ ]  Maintenance contracts

[ ]  Regular IT incident tests [ ]  In-house storage of copies / backups

[ ]  Uninterruptible Power Supply (UPS) [ ]  Fire and smoke detection systems

[ ]  Devices for monitoring temperatures [ ]  Firefighting equipment

[ ]  Alarm warning in the event of unauthorized access [ ]  Use of load balancing

[ ]  Please click here to add a text.

1. **Measures for ensuring the ability to restore the availability and access to personal data in a timely manner in the event of a physical or technical incident**

☒ Regular backups of the whole system [ ]  Storage on several and different devices

[ ]  Creation of a data backup concept ☒ Regular test of backup and recovery

[ ]  Hardware support and services contracts [ ]  Emergency preparedness concept

[ ]  Storage of outsourced data backup ☒ Regular training of IT staff

[ ]  Please click here to add a text.

1. **Processes for regularly testing, assessing and evaluating the effectiveness of technical and organisational measures in order to ensure the security of the processing**

☒ In-house checks ☒ Regular review of processes by IT

☒ Regular audits (e.g. by the DPO [ ]  Regular check of processing activity records

[ ]  TOM audit by the DPO [ ]  Regular tests/checks of employees

[ ]  Execution of data protection impact assessments [ ]  Review of privacy by design / default

[ ]  Implementation of a data protection management system (DPMS) / data protection handbook

[ ]  Please click here to add a text.

1. **Measures for user identification and authorisation**

[ ]  Two-Factor-Authentication ☒ Authentication with username / password

[ ]  Separation of test and productive system user roles ☒ Regular checks of authorisations

[ ]  BIOS passwords [ ]  Creation of user profiles

[ ]  Mobile Device Management Policy [ ]  Creation of an authorisation concept

☒ Password guideline ☒ Limitation of the number of administrators

[ ]  Identification of new employees by HR department [ ]  Separation of user roles

[ ]  Automatic locking mechanisms [ ]  Third party identification with IDs

☒ Management of rights by system administrator ☒ Differentiation between authorisations

[ ]  Please click here to add a text.

1. Measures for the protection of data during transmission

☒ Use of encryption technologies [ ]  VPN

☒ Logging of activities and events [ ]  Transport over a private cloud

[ ]  Documentation of recipients of data ☒ Encryption of email (TlS 1.2 or 1.3)

[ ]  Verification of the recipient’s identity ☒ Use of company internal / restricted drives

[ ]  Physical transport: safe transport containers [ ]  Careful selection of transport staff

[ ]  Please click here to add a text.

1. Measures for the protection of data during storage

[ ]  Encryption of data carriers [ ]  Data classification

[ ]  Creation of an authorisation concept [ ]  Limitation of access

☒ Logging of actions and events [ ]  Security doors

☒ Limitation of the number of administrator’s [ ]  Key card / RFID access

[ ]  Anonymisation of data [ ]  Pseudonymisation of data

[ ]  Secure storage of data carriers ☒ Firewall

[ ]  Please click here to add a text.

1. Measures for ensuring physical security of locations at which personal data are processed

[ ]  Alarm system [ ]  Control of persons at the porter

[ ]  Protection of building shafts [ ]  Logging of visitors

[ ]  Automatic access control system [ ]  Careful selection of cleaning staff

[ ]  Chip cards, transponders [ ]  Careful selection of security personnel

[ ]  Locking system with code lock [ ]  Obligation to wear authorisation IDs

☒ Manual locking system [ ]  Access concept

[ ]  Biometric access lock [ ]  Lockable server cabinets

[ ]  Video surveillance of entrances [ ]  Doors with a knob on the outside

[ ]  Light barriers / motion detectors [ ]  Visitors: Only accompanied by employees

☒ Security locks [ ]  Bell system with camera

☒ Key control [ ]  Offices have door locks

[ ]  Please click here to add a text.

1. **Measures for ensuring events logging**

[ ]  Use of automatic logging solutions [ ]  Event report generation

[ ]  Notification policy with real time alert ☒ Logging activated on application level

[ ]  Automatic checks of logs [ ]  Systems clocks synchronization

☒ Regular manual checks of logs [ ]  Automatic consolidation of event logs

[ ]  Logs are stored within the application and automatically send to a remote location

[ ]  Please click here to add a text.

1. **Measures for ensuring system configuration, including default configuration**

[ ]  Configuration management policy exists ☒ Configuration change control process

☒ Data protection by default is observed [ ]  Standard review of default configurations

[ ]  DPO is involved in configuration [ ]  Definition of standard configurations

[ ]  IT security officer is involved in configuration ☒ Configuration only by system administrator

[ ]  Logging of changes in configuration ☒ Regular training of IT staff

[ ]  Observation of manufacturer’s recommendations

[ ]  Please click here to add a text.

1. **Measures for internal IT and IT security governance and management**

☒ IT security policy [ ] IT administration policy

[ ]  Regular compliance audits and reviews [ ]  IT asset register

☒ Training of employees on data security [ ]  Periodic system testing and evaluation

☒ IT team with clear roles and responsibilities [ ]  Incident management and response policy

[ ]  Risk assessment and risk management measures at all stages and levels

[ ]  Please click here to add a text.

1. **Measures for certification/assurance of processes and products**

[ ]  Implementation of ISO 9001 - Quality Management

[ ]  Implementation of ISO 27001 - Information Security Management

[ ]  Implementation of ISO 27701 - Privacy Information Management

[ ]  GDPR Certification – Data Protection Management

☒ Clear overview of the provisions applicable to the provided products/services/processes

[ ]  Identification of industry-related standards

☒ Regular internal and/or external audits

☒ Assignment of audit responsibilities to certified experts

[ ]  Regular check for new prerequisites and renewal of certificates

[ ]  Please click here to add a text.

1. **Measures for ensuring data minimization**

☒ Identification of the purpose of processing

☒ Assessment of a link between processing and purpose

[ ]  Assessment of the amount and quality of the data processed in relation to the purpose

☒ Identification of the applicable retention periods for each data category

☒ Secure erasure of the data after expiration of the retention period

[ ]  Please click here to add a text.

1. **Measures for ensuring data quality**

[ ]  Data profiling and classification [ ]  Control/check of incoming or new data

☒ Logging of entry and modification of data ☒ Assignment of rights for data entry

[ ]  Storage of logs ☒ Traceability of entry, modification of data by [ ]  Avoidance of duplicated data individual user names (not user groups)

[ ]  Identification of requirements for data types [ ]  Application of measures for data quality

[ ]  Please click here to add a text.

1. **Measures for ensuring limited data retention**

[ ]  Retention policy defining responsibilities and roles [ ]  Separation of data by retention periods

[ ]  DPO advises on data retention periods ☒ Regular training on retention periods

☒ Regular audit and assessment of retained data

[ ]  Please click here to add a text.

1. **Measures for ensuring accountability**

☒ Provision of training / awareness rising ☒ Regular controls and checks

[ ]  Assembly of a data protection team [ ]  Guidance and support for employees

☒ Appropriate policies on data protection ☒ Conclusion of SCCs

[ ]  Conclusion of joint controllership agreements [ ]  Answer of data subject’s requests

[ ]  Transparency document (Art. 13 / 14 GDPR) ☒ Use of secure data erasure

[x]  Legal basis for processing exists for all activities ☒ Documented privacy policy

[ ]  Audit reports and measures taken are documented [ ]  Proper involvement of DPO

[ ]  Specific consent procedure / maintaining of consent records

[ ]  Please click here to add a text.

1. **Measures for allowing data portability and ensuring erasure**

☒ Personal data is stored in a structured format ☒ Monitoring of legal deadline ensured

[ ]  Transmission with end-to-end encryption ☒ Observation of retention periods

☒ Establishment of data portability process ☒ Proper handling of data subject requests

☒ Secure data erasure ensured by contracting with Notebook12 GmbH & Co. KG, Fraunhoferring 3, 85238 Petershausen, Germany, email: info@notebook12.com (certified data erasure certificate).

☒ Secure data carrier destruction ensured by contracting with Notebook12 GmbH & Co. KG, Fraunhoferring 3, 85238 Petershausen, Germany, email: info@notebook12.com (certified data destruction certificate).

[ ]  Please click here to add a text.

1. **For transfers to (sub-) processors, also describe the specific technical and organisational measures to be taken by the (sub-) processor to be able to provide assistance to the controller and, for transfers from a processor to a sub-processor, to the data exporter**

[ ]  Standard Contractual Clauses (SCCs) are signed or agreed on

[ ]  Implementation of ISO 9001 - Quality Management on (sub-) processor level

[ ]  Implementation of ISO 27001 - Information Security Management on (sub-) processor level

[ ]  Implementation of ISO 27701 - Privacy Information Management on (sub-) processor level

[ ]  GDPR Certification – Data Protection Management on (sub-) processor level

☒ Secure data erasure ensured by contracting with Notebook12 GmbH & Co. KG, Fraunhoferring 3, 85238 Petershausen, Germany, email: info@notebook12.com (certified data erasure certificate).

☒ Secure data carrier destruction ensured by contracting with Notebook12 GmbH & Co. KG, Fraunhoferring 3, 85238 Petershausen, Germany, email: info@notebook12.com (certified data destruction certificate).

☒ Contractually agreed on effective control rights

☒ Contractually agreed on provision of assistance to the controller

[ ]  Please click here to add a text.

**ANNEX III**

**LIST OF SUB-PROCESSORS**

The controller has authorised the use of the following sub-processors:

Name: Please click here to add a text.

Address: Please click here to add a text.

Contact person’s name, position and contact details: Please click here to add a text.

Description of processing (including a clear delimitation of responsibilities in case several sub-processors are authorised): Please click here to add a text.

Name: Please click here to add a text.

Address: Please click here to add a text.

Contact person’s name, position and contact details: Please click here to add a text.

Description of processing (including a clear delimitation of responsibilities in case several sub-processors are authorised): Please click here to add a text.

Name: Please click here to add a text.

Address: Please click here to add a text.

Contact person’s name, position and contact details: Please click here to add a text.

Description of processing (including a clear delimitation of responsibilities in case several sub-processors are authorised): Please click here to add a text.

Name: Please click here to add a text.

Address: Please click here to add a text.

Contact person’s name, position and contact details: Please click here to add a text.

Description of processing (including a clear delimitation of responsibilities in case several sub-processors are authorised): Please click here to add a text.

Name: Please click here to add a text.

Address: Please click here to add a text.

Contact person’s name, position and contact details: Please click here to add a text.

Description of processing (including a clear delimitation of responsibilities in case several sub-processors are authorised): Please click here to add a text.